

To be used to obtain a quotation proposal for ResponsibleSteel Registration by PRI. When completing this information survey, please include each facility involved in the ResponsibleSteel program, as applicable. Thank you for your cooperation.

A. Company Information

- 1. Company Name: _____
- 2. Division: _____
- 3. Address: _____

- 4. Issue Proposal to (ResponsibleSteel Contact): _____
Same address as above? Yes No If No, please provide mailing address.

E-mail Address: _____ Web Site Address: _____

Telephone: _____ Fax: _____

- 5. Designated Audit Contact: _____ Same as ResponsibleSteel Contact above? Yes No
If No, please provide all necessary contact information. _____

- 6. List the organization's primary IAF Code: _____

- 7. Primary language(s) spoken other than English _____

- 8. Are you a subsidiary or division of another organization? Yes No

If so, whom: _____

- 9. Are you a ResponsibleSteel member? Yes No Please note that only ResponsibleSteel are eligible for this service. If you are a member, please attach evidence of your membership when you return this form.

- 10. The audit will be conducted following the current versions of these ResponsibleSteel documents:

<https://www.responsiblesteel.org/certification/certification-resources/>

- a) ResponsibleSteel Standard
- b) Implementation Instructions
- c) ResponsibleSteel Glossary
- d) 'ResponsibleSteel Certification for Sites'
- e) Information on using the ResponsibleSteel trademarks, being the ResponsibleSteel logo, name and approved text claims, and on making claims in relation to participation in the ResponsibleSteel program
- f) Any other ResponsibleSteel guidance documents developed for sites.

- 11. Please complete the "Site Application Details" in the Responsible Steel Implementation Instructions and provide a copy along with this completed document. When providing a proposed scope, please refer to the ResponsibleSteel Assurance Manual sections 2.1.4 and 2.1.5.

- 12. Additional ResponsibleSteel information required:

- a) Facilities and associated activities that are directly related but are not included in the certification scope:

b) Sites and facilities that are covered by the ResponsibleSteel certificate. [For example, 2 Electric Arc Furnaces, 2 LMF, 1 Hotmill/Rolling mill complex with 2 – Tunnel Furnaces and Finishing Lines (PLTCM, SPM, BAF, CGL), and supporting operations (scrap yard, slag yard, storage buildings, water treatment plant, water treatment building, and administrative building)]:

c) Support functions that may contribute to the audit (for examples, corporate support site), provide support function company name and address:

Please complete the following table identifying the site(s) to be registered and provide the requested information for each. Note: If the site has different addresses for buildings (located on property) indicate such below:*

Location and Organization (Sites) including addresses for all buildings, etc.	No. Shifts (incl. times)	No. of Employees, (incl. temps*1)	Products and/or Services

*Attach additional page(s) if more space is necessary
 *1 Count those performing work related to the ResponsibleSteel activities

- 13. Over a typical 6-month period, what is the average daily number of contractors on site that apply to ResponsibleSteel activities? _____
- 14. Do you have dedicated shifts? (Y/N) _____ Describe: _____
- 15. Is production performed on all shifts? (Y/N) _____ Explain: _____
- 16. Do you have Statutory / Regulatory requirements" (refer to ISO 14001:2015 paragraph 6.1.3) that may affect your ResponsibleSteel requirements? (Examples: Voluntary remediation commitments, Voluntary State programs, RoHS, IMDS) (Y/N) _____
 Describe: _____

B. Time Frame

- 1. When are you planning to be ready for the first site's ResponsibleSteel Stage 1 audit? _____
- 2. When are you planning to be ready for the first site's ResponsibleSteel Stage 2 audit? _____

C. Audit Related Questions - (Please attach an organizational chart of your company and the reporting hierarchy of your ResponsibleSteel organization and a copy of the site(s) map.)

- 1. Do you have documentation that complies with the ResponsibleSteel standard? (Y/N)

2. Does your documentation apply to all locations (Corporate)? Or (Y/N) _____
3. Does each location have its own documentation (site specific)? (Y/N) _____
4. Are there any activities associated with the ResponsibleSteel that are conducted outside of the organization or subcontracted activities (on-site or off-site)? _____
- What _____
- Activities? _____
- Where? _____
5. Is Headquarters part of the scope? (Y/N) _____
6. Other business units? _____
7. Company Property: ___ Owned ___ Leased ___ Sq. Ft. or ___ Acres
8. Describe immediate plant surroundings (i.e., land, rivers, navigable waters, residential): _____
9. Describe any remediation activities: _____
10. Number of contractors and/or service providers with significant environmental impacts and describe the service and/or activities provided: _____
11. Types of Environmental Aspects Identified -- Mark an "X" in each column that applies.

Air Emissions		Discharges to Water		Waste Disposal	
Dust/Particulate		Sanitary only to septic		Solid (Municipal) Waste	
VOCs		Sanitary, and process water discharge to POTW below amounts triggering permit conditions		Used Oil	
NOx		Storm water discharge to POTW / common discharge from industrial park		Industrial/Special Waste	
SOx		Storm water discharge to waterway per permit conditions		Universal/E-Waste	
HAPs		Sanitary and process water discharge to POTW per permit conditions		Asbestos	
CFCs		Pre-treated sanitary/process water discharged to POTW per permit conditions		Hazardous Waste	
Greenhouse Gases		"Zero discharge" facility/ emergency discharge only (Sanitary/process water is cleaned and recirculated)		Toxic Waste (PCBs)	
		Pre-treated sanitary / process discharged to waterway per permit conditions		Radioactive Waste	
Natural Resources		Community		Other Aspects – Please Describe	
Chemical Use		Noise			
Water Use		Odor			
Energy Use		Traffic			
Historic Site					
Wetlands					
Forest					
Protected/Endangered Species Habitat					
Ground Water Protection/Remediation					

12. Number of regulatory permits in effect. **Please mark an "X" next to all applicable permits, plans,**

requirements and controls and describe any others not listed in the space provided

AIR			WATER		
Minor Source			General Storm Water Permit		
Area Source			City Sewer Ordinance		
Major Source			NPDES – storm water		
Source Operating Permit			NPDES – process water		
Title V/PSD			On-Site Pre-Treatment – Sanitary water		
Visible Emissions Monitoring	Monthly or Less Frequency		On-Site Pre-Treatment – Process water		
	Weekly or More Frequency		On-Site Water Treatment for reuse		
Continuous Opacity Monitoring Unit	Number		Retention Pond	Number	
Continuous Emissions Monitoring Unit	Number		Storm Water Outfall	Number	
Fugitive Dust Plan			Other Outfall	Number	
Malfunction Abatement Plan			SWPPP		
Bag House	Number		SPCC		
Dust Collector	Number		Other – Please Specify:		
Incinerator/Afterburner	Number				
Stack Testing	Frequency				
Scrubber	Number				
Road Watering					
Other – Please Specify:					

WASTE			HAZARDOUS MATERIALS		
Solid (Municipal) Waste			No EHS Chemicals on-site		
Hazardous Waste Generator	VSQG		Storage of EHS Chemicals below SARA 312 reporting threshold		
	SQG		Storage of EHS Chemicals above SARA 312 reporting threshold		
	LQG		Chemicals Stored in:	Containers	
Permitted Hazardous Waste TSD	Treatment			Tanks	
	Storage		Other Permits or Requirements – Please Specify:		
Haz. Waste Accumulated in:	Disposal				
	Lab Packs or <55G				
	55G Containers				
On-site Landfill	Tanks				
	Solid Waste				
Used Oil Handling	Hazardous Waste				
	Generator				
	Transporter				
	Marketer				
	Burner				
Used Oil Accumulated in:	Re-refiner/Processor				
	Collection Center				
	Containers				
On-Site Used Oil Recycling	Tanks				
	Inside				
	Outside				
	Filtration				

	Burning for Energy Recovery		
Universal Waste Handler	SQH		
	LQH		
PCBs			
Asbestos			
Radioactive Waste			
Remediation Site			
Other – Please Specify:			

D. Risk and Complexity - Please characterize your risk and complexity in the following areas:

	Complexity Risk			Your Rating (L, M, H)
	Low	Medium	High	
Environmental Aspects	Site conducts hot and cold forming and metal fabrication	Site conducts surface and other chemically-based treatment for metal-fabricated parts	Site conducts primary production of metal (except EAF, which falls into Medium category)	
Health and Safety Aspects		Site conducts surface and other chemically-based treatment for metal fabricated parts and for general mechanical engineering (depending on the treatment and the size of the component could be high	Site conducts primary production of metal Or Site conducts hot and cold forming and metal fabrication	
Management Systems	Site is certified against relevant management system standard(s) with no or few minor nonconformities	Site is certified with many minor nonconformities or at least one major nonconformity	Site does not have a certified management system or certification was suspended or withdrawn in the past 3 years	
Legal Compliance A significant fine is considered > USD 10,000. Sanctions includes settlements	Site is fully compliant with permit conditions	Site had a noncompliance resulting in a significant fine or sanction in the past 3 years	Site had a noncompliance resulting in a significant fine or sanction within the past year	
Context CPI = Corruption Perceptions Index EPI = Environmental Performance Index HFI = Human Freedom Index	Site is located in a country with a CPI score > 50 EPI score > 60 HFI score > 7	Site is located in a country with a CPI score 30 - 50 EPI score 60 - 50 HFI score 7 - 6.5	Site is located in a country with a CPI score < 30 EPI score < 50 HFI score < 6.5	
Stakeholder concerns	There are no known risks or issues in relation to the site	There are some known risks or issues in relation to the site	There are many and persisting known risks or issues in relation to the site	

E. Other Management Systems

1. Are you certified to other Management System Standards, e.g., ISO 9001, IATF 16949, AS9100? (Y/N)
Which Standard(s)? _____
If not, would you like to discuss other certifications with PRI? Yes ____ No ____
2. Have you developed an integrated system? Yes ____ No ____
Which Standards are integrated? _____
 - Does the ResponsibleSteel documentation information include/meet all cited Standards? Yes ____ No ____
 - Do internal audits address requirements of all standards/conducted in a combined effort? Yes ____ No ____
 - Do management reviews address required inputs & outputs from all Standards? Yes ____ No ____
 - Are the required procedures common to all Standards? (doc & record control, training) Yes ____ No ____
 - Is the corrective and preventive action system common to all cited Standards? Yes ____ No ____
 - Is the Management Rep the same for all Standards? Yes ____ No ____

If the answer to all the above is yes, your system is integrated, and PRI will quote accordingly. Depending on the systems that are integrated, other information may be required. PRI will advise.

F. For Our Information

1. Does your company belong to any professional industry organization(s)? Yes ____ No ____
a. If yes, what association(s)? _____
2. How did you hear about PRI? _____
3. Do you outsource any of the processes of your management system? This includes both production and non-production processes. Yes ____ No ____ If yes, indicate the processes outsourced: _____
4. Do you use a management system consultant? Yes ____ No ____ If yes, indicate the name of your consultant: _____
5. Do you have any relevant legal obligations, that are planned for and executed through your management system? Yes ____ No ____ If Yes, list them here: _____

6. Are you ITAR responsible? Yes ____ No ____ Classified material or export control requirements related to PRI access, must be disclosed. _____
7. PRI auditors may not be U.S. citizens. Does your organization restrict foreign national entry to its site(s)? Yes ____ No ____ Please describe these restrictions. _____

This survey is provided to assist PRI Quality System Registrar, in defining your scope of registration and preparing a cost estimate. Its receipt by PRI does not acknowledge our acceptance and/or approval of any aspect of your possible ResponsibleSteel registration.

Respondent's Signature

Title

Date

Thank you for completing this survey for a cost proposal. Please return to PRI via e-mail.

PRI Certification
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